TNT-114US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant: Filed:

Aaron Fisher Herewith

Title:

PLACING A SEMICONDUCTOR LASER ELECTRICALLY IN SERIES WITH A

SEMICONDUCTOR OPTICAL AMPLIFIER

TC/A.U.:

To Be Assigned To Be Assigned

Examiner: Docket No.:

TNT-114US

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Kenneth N. Nigon, Reg. No. 31,549

Attorney(s) for Applicant(s)

KNN/tmb

Enclosures: PTO Form 1449

(6) References

Dated: August 20, 2003

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

**EXPRESS MAIL** 

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eposit: August 20, 2003

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-03) (AW 07/03)
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Substitute for Form 1449A/PTO	Complete if Known		
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Aaron Fisher	
(Use as many sheets as necessary)	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
SHEET 1 of 2	Attorney Docket No.	TNT-114US	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	<b>-</b>	US-6,381,066 B1	04/30/2002	Korn et al.	Entire Document	
		US-6,141,477	10/31/2000	Kitamura	Entire Document	
<del></del>		US-5,530,585	06/25/1996	Reid et al.	Entire Document	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	App	e of Patentee or licant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
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Examiner	<del></del>				Date	T	
Signature	1				Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1Applicant's unique citation designation number (optional).

2See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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	Substitute for Form 1449B/PTO	Complete if Known		
		Application Number	To Be Assigned	
	INFORMATION DISCLOSURE	Filing Date	Herewith	
	STATEMENT BY APPLICANT	First Named Inventor	Aaron Fisher	
	(Use as many sheets as necessary)	Art Unit	To Be Assigned	
		Examiner Name	To Be Assigned	
$\bigcup$	SHEET 2 of 2	Attorney Docket No.	TNT-114US	

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
		T. L. KOCH et al.; Semiconductor Photonic Integrated Circuits; IEEE Journal of Quantum Electronics, March 1991, pgs. 641-653; Vol. 27, No. 3.			
		N. K. DUTTA et al.; Optical Sources for Telecommuniction; The Communications Handbook, Second Edition; April 29, 2002; Part IV, Chapter 46, Vol. 26; University of California, Santa Barbara, California, USA			
		D. J. BLUMENTHAL; Semiconductor Optical Amplifiers; The Communications Handbook, Second Edition; April 29, 2002; Part IV, Chapter 51, Vol. 26; University of California, Santa Barbara, California, USA			

Examiner		Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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